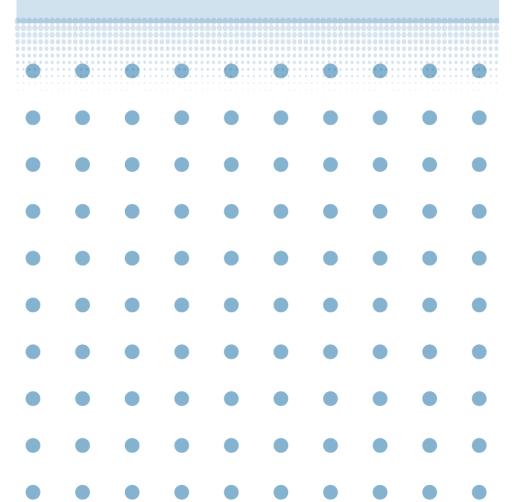




Guide

Deposit, defense, and evaluation of Doctoral Theses at the ULPGC







Description

This document summarizes the procedure for the deposit, defense, and evaluation of doctoral theses at Universidad de Las Palmas de Gran Canaria, taking as reference the Regulation of Doctoral Studies (published at BOULPGC, January 26th, 2023), which must be taken as the reference document.

Recipients

The document is intended primarily for doctoral students who are in the final phase of their doctoral thesis, although it is also of interest to thesis directors and tutors, as well as to those people who will act as members of the tribunal, mainly the Secretary.

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Stages

1. The <u>doctoral student</u> delivers the doctoral thesis document, with the authorization of the directors, to the Academic Committee of the Doctoral Program, through the web app.

The coincidence report must be included (extracted from the Compilatio application), together with the allegations made by the tutor and/or director of the thesis analyzing such coincidences (if over 20 %). The coincidence report is prepared by the <u>tutor</u>, according to the model here.

- 2. The PhD supervisor proposes two external reporters, who have to be registered at ULPGC and who need to have accredited research experience.
 - Registering website
 - Habilitation of research experience, clicking here.
 - IMPORTANT: reporters should be external to ULPGC and to the doctoral program
- 3. The reporters will have 15 working days to prepare their report, which should be uploaded into the doctoral web system.
 - They need to be within the economic system ("Alta a Terceros").
 - o If they have electronic ID: through sede electrónica
 - o If they don't have electronic ID: they should send the following documents by email to escuela.doctorado@ulpgc.es:
 - Registration for economic purposes ("alta a terceros") (only fill in sections I and III; the stamp from the bank is not needed)
 - <u>Translated document</u> (please, fill in the previous document; this is just some help)
 - Copy of the valid passport
 - Bank document accrediting ownership which includes the BIC/SWIFT codes
 - o Signed autorization to submit the documents
 - No conflic of interest can exist
 - Template for the report can be found at:
 - o Spanish version
 - English version





4. Once the reports are issued, the PhD candidate should undertake the requested changes and also prepare a report summarizing the modifications performed or the allegations to the reviewers. These will be uploaded into the doctoral web application and will need to have the approval from the PhD supervisors.

The total time allocated for the preparation of reports and undertaking the requested changes is of a máximum of 6 months (regardless the time of permanence still remaining in the PhD program).

- 5. The <u>Academic Committee</u> of the Doctoral Program gives approval (or not). The program coordinator, through the web app, indicates the decision of the Academic Commission.
- 6. The Thesis Deposit is made when the <u>doctoral student</u> delivers a hard copy of the thesis to Unidad de Posgrado y Doctorado.
- 7. After 15 business days of deposit, the <u>Vice-Rector for Research and Transfer</u> will authorize, within a maximum period of 15 days, the defense of the thesis, if there has been no incident during deposit.
- 8. After authorization, the <u>doctoral student</u> has a period of 7 days to formalize registration.
- 9. The <u>Thesis Director</u> prepares the tribunal proposal in the web app. The tribunal will be constituted by five doctors: three members will be regular members and two will be substitutes. The majority of the members of the committee should be external to ULPGC or the collaborating institutions in the Doctoral Program. The secretary must belong to the ULPGC. Neither the director nor the thesis tutor may be part of the tribunal. All members of the tribunal must have accredited research experience.
 - The persons to be involved as a member of an evaluation committee
 must have accredited research experience. If they are members of
 the ULPGC with a six-year term research habilitation (sexenio de
 investigación) or have already taken part previously as a jury at
 ULPGC, even being external to ULPGC, they are already included in
 the application database.





- If they are external personnel who will only act as a member of an evaluation committee, they must prove their research experience through this form.
- If they are external staff acting as thesis supervisor, they must register in advance at the **Registration** link. Once registered, the research expertise must be introduced by clicking **here**.

The external experts acting as reporters can take part in the evaluation jury.

Note: In the thesis panels by *compendium*, no co-author of the scientific contributions presented as the core of the Doctoral Thesis may be part of the evaluation committee.

The request is sent, through the web app, to the Program Coordinator, who validates it or not. If accepted, a warning is sent to the Director of the Doctoral School, who revises it and, if accepted, the applicant is added to the database.

- 10. The <u>Academic Committee</u> will send to the Vice-Rector for Research and Transfer, through the application, the proposal of the evaluation committee, and the Doctoral Student Activities Document. <u>The coordinator of the Doctoral Program</u> must verify that the established requirements to be part of a tribunal are met.
- 11. The <u>Director of the Doctoral School</u> approves the request if the requirements are met.
- 12. The <u>Vice-Rector</u> appoints the evaluation committee and the Director of the Doctoral School signs the communication to be sent to the members of the tribunal, within a maximum period of 7 days from receipt of the proposal. The documents are sent by email through the application.

The minimum time between the thesis deposit and the defense is about 45 days.

13. The <u>president of the jury</u> convokes for the Thesis defense event, within a maximum period of 15 days. The <u>secretary</u> communicates the call to the members of the committee, the Academic Commission of the Doctoral Program, and Unidad de Posgrado y Doctorado. In the case of theses





defended by Microsoft Teams, the Secretary will also send an access link to the session.

The Doctoral thesis will be defended in a public event and will take place between 15 days and 2 months from the date of the secretary's communication.

14. The defense of the thesis should preferably be carried out at the ULPGC facilities or, exceptionally, through the Microsoft Teams application (see attached manual).

In the event that the defense does not take place at the ULPGC, the call made by the secretary should include the place in which the defense is taking place, together with the motivation to perform it in an external location.

- 15. The <u>doctoral student</u> will have a maximum of one hour to present their work to the evaluation committee. If the director, the president of the jury, and the doctoral student authorize it, the defense may be recorded (except for the deliberation stage), for open dissemination (linked to the Library's AccedaCRIS repository). The document authorizing the filming, photographing, and disseminating of public events held at the ULPGC must be signed (and available here).
- 16. Once the defense is completed, the <u>evaluation committee</u> will issue a report and the overall grade awarded, according to the scale: not suitable, approved, notable, and outstanding.
- 17. The tribunal may propose that the thesis is awarded with the "cum laude" mention if the unanimous secret positive vote is issued.

If the presentation and defense of the doctoral thesis are carried out in person, each member of the jury will prepare a sealed envelope with their vote, and the Secretary of the committee will deliver it along with the rest of the Unidad de Posgrado y Doctorado.

In the case of theses presented remotely, each member of the tribunal will send by email the meaning of their vote to the Unidad de Posgrado y Doctorado (posgrado_doctorado@ulpgc.es).





18. The Secretary will deliver the signed documentation to the Unidad de Posgrado y Doctorado (in paper, if prepared like that, otherwise, in digital format).

| Doctoral thesis | Full time: 4 years, 6 years if any disability Part time: 7 years, 9 years if any disability Part time: 7 years, 9 years if any disability Part time: 7 years, 9 years if any disability Tutor requests the coincidences report Template on coincidences allegations |
|---|---|
| Completion of the thesis | The doctoral student submits the document to the Academic Commission (app) The report of coincidences and comments should be included Once supervisor agrees, it is sent to 2 external reporters for evaluation |
| External reports issued | PhD candidate performs the requested modifications PhD candidate issues allegations report to reviewers comments Final versions of the documents are uploaded to the web app |
| Compliance | Academic Commission (web-app) Registration for external members Accreditacion of the research habilitation here |
| Thesis deposit | The doctoral student presents a hard copy at Unidad de Period of 15 days Posgrado y Doctorado |
| Defense is authorized | Vice-rectorate of Research and Transfer Within 15 days, if no incidences occur ALL members of the jury with |
| Registration of the student | Within 7 days Within 7 days |
| Evaluation committee proposal | Thesis director (app) 5 members, most of them from outside ULPGC, with positive research habilitation (sexenio CNEAI or similar) |
| Compliance of the proposed members | Coordinator of the Doctoral Program The Academic Commission submits the proposal to the Vice-rector, together with the student register of activities (app) The Director of the Doctoral School accepts the proposal |
| Evaluation committee appointment | Vice-rector of Research and Transfer: 7 days |
| Call for the defense | President of the evaluation committee: 15 days Secretary send the communication to the members of the jury, the Academic Commission and Unidad de Posgrado y Doctorado |
| Presentation of the Doctoral Thesis | Between 15 days and 2 months after the appointment (august, week-ends and non-working days excluded) |
| Evaluation | 1. Grading: Calificación global: not suitable, approved, notable and outstanding 1. Cum laude award: unanimously. Voting by sealed envelope to the Secretary or by individual email to posgrado_doctorado@ulpgc.es |